



AK Deferred Deposit Advance Surrender Checklist (Company)

CHECKLIST SECTIONS

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GENERAL INFORMATION

Instruction

1. File the surrender request through NMLS.
2. There is no fee to surrender.
3. We may request additional information upon review of your surrender; watch your email for such requests.

Help Resources

- [Company License Surrender Requests Quick Guide](#)
- [License Status Review & Definitions Quick Guide](#)

Agency Contact Information

Contact the Alaska Division of Banking and Securities licensing staff by phone at (907)-465-2521 or send your questions via email to dbs.licensing@alaska.gov for additional assistance.

THE APPLICANT/LICENSEE IS FULLY RESPONSIBLE FOR ALL OF THE REQUIREMENTS OF THE LICENSE FOR WHICH THEY ARE APPLYING. THE AGENCY SPECIFIC REQUIREMENTS CONTAINED HEREIN ARE FOR GUIDANCE ONLY TO FACILITATE APPLICATION THROUGH NMLS. SHOULD YOU HAVE QUESTIONS, PLEASE CONSULT LEGAL COUNSEL.

REQUIREMENTS COMPLETED IN NMLS		
Complete	AK Deferred Deposit Advance	Submitted via...
<input type="checkbox"/>	Submission of Surrender Request through Company Form (MU1): Request the surrender of the license through the submission of the Company Form (MU1). See the Company License Surrender Requests Quick Guide for instructions.	NMLS
<input type="checkbox"/>	Books and Records: Update the <i>Books and Records</i> section of Company Form (MU1) and provide the Custodian name and the physical location the books and records will be maintained after surrender. If the Records Custodian or location changes after surrender, you must provide written notice of changes to the Alaska Division of Banking & Securities. Books and records must be kept for three (3) years from the date of surrender. [AS 06.50.320]	NMLS
<input type="checkbox"/>	Consumer Complaint Contact Employee: Verify that the Consumer Complaint Contact Employee in the <i>Contact Employees</i> section of the Company Form (MU1) is accurate.	NMLS

REQUIREMENTS SUBMITTED OUTSIDE OF NMLS
No items require submission outside of NMLS for this license/registration.