AK Registered Depository Institution Branch Registration Surrender Checklist (Branch)

CHECKLIST SECTIONS

General Information

Requirements Completed in NMLS

Requirements Submitted Outside of NMLS

GENERAL INFORMATION

Instruction

The licensee must file the surrender request through NMLS.

- There is no fee to surrender.
- Send nothing to Alaska Division of Banking & Securities for surrender.

Upon review of your surrender, the Division may request additional information by email.

Help Resources

- <u>Company License Surrender Requests Quick Guide</u>
- License Status Review & Definitions Quick Guide

Agency Contact Information

Contact Alaska Division of Banking & Securities licensing staff via email at <u>dbs.licensing@alaska.gov</u> or by phone at (907) 465-2521.

THE APPLICANT/LICENSEE IS FULLY RESPONSIBLE FOR THE REQUIREMENTS OF THE LICENSE FOR WHICH THEY ARE APPLYING. THE AGENCY SPECIFIC REQUIREMENTS CONTAINED HEREIN ARE FOR GUIDANCE ONLY TO FACILITATE APPLICATION THROUGH NMLS. SHOULD YOU HAVE QUESTIONS, PLEASE CONSULT LEGAL COUNSEL.

| REQUIREMENTS COMPLETED IN NMLS | | |
|--|---|---------------|
| Complete | AK Registered Depository Institution Branch Registration | Submitted via |
| | Submission of Surrender Request through Branch Form (MU3): Request the surrender of the license through the submission of the Branch Form (MU3). See the <u>Company License Surrender Requests Quick Guide</u> for instructions. | NMLS |
| | Remove Mortgage Loan Originators Assigned to Branch: Request <u>sponsorship</u> <u>removal</u> of Mortgage Loan Originators assigned to branch or <u>reassign</u> Mortgage Loan Originators to another approved branch location. | NMLS |
| REQUIREMENTS SUBMITTED OUTSIDE OF NMLS | | |
| Complete | AK Registered Depository Institution Branch Registration | Submitted via |
| No items are required to be submitted outside of NMLS for this license/registration. | | |