



Surrender Checklist

Jurisdiction-Specific Requirements



INDIANA-SOS LOAN BROKER BRANCH LICENSE

Instructions

1. File the surrender request through the NMLS.
2. There is no fee to surrender.
3. No documents should be sent to the Indiana Secretary of State for surrender. We may request additional information upon review of your surrender; watch your e-mail for such requests.

WHO TO CONTACT – Contact *Indiana Secretary of State, Securities Division* licensing staff by phone at 317-232-6681 or send your questions via e-mail to compliance@sos.in.gov for additional assistance.

THE APPLICANT/LICENSEE IS FULLY RESPONSIBLE FOR ALL OF THE REQUIREMENTS OF THE LICENSE FOR WHICH THEY ARE SURRENDERING. THE JURISDICTION SPECIFIC REQUIREMENTS CONTAINED HEREIN ARE FOR GUIDANCE ONLY TO FACILITATE SURRENDER THROUGH THE NMLS. SHOULD YOU HAVE QUESTIONS, PLEASE CONSULT LEGAL COUNSEL.