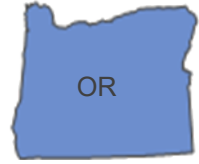




Surrender Checklist

Jurisdiction-Specific Requirements



OREGON EXEMPT COMPANY REGISTRATION

Instructions

1. Make sure that your NMLS record contains up-to-date contact information.
2. File the surrender request through the NMLS.
3. There is no fee to surrender.
4. Send nothing to DFR for surrender. We may request additional information upon review of your surrender; watch your e-mail for such requests.

WHO TO CONTACT – Contact Oregon Division of Financial Regulation licensing staff by phone at (503) 947-7300 or send your questions via email to DFR.NDP.Licensing@dcbs.oregon.gov for additional assistance. Please include your NMLS number with all correspondence.

THE APPLICANT/LICENSEE IS FULLY RESPONSIBLE FOR ALL OF THE REQUIREMENTS OF THE LICENSE FOR WHICH THEY ARE SURRENDERING. THE JURISDICTION SPECIFIC REQUIREMENTS CONTAINED HEREIN ARE FOR GUIDANCE ONLY TO FACILITATE SURRENDER THROUGH THE NMLS. SHOULD YOU HAVE QUESTIONS, PLEASE CONSULT LEGAL COUNSEL.