



## Amendments Jurisdiction-Specific Requirements



### OREGON MORTGAGE LENDER LICENSE

#### Instructions

1. To amend your Company (MU1) or Individual (MU2) Form in NMLS, enter the Filing Tab, the appropriate MU Form, create a new filing and enter the section of the form that requires amendment, make the appropriate changes, proceed to the “attest and submit” field and attest to all changes.
2. DFR does not charge amendment fees.
3. Any change in Control Persons must also be reported on the Individual (MU2) Form.
4. DFR no longer prints licenses. To comply with the requirement to post your license, download the Oregon Licensing Notice from the Division’s website at [www.dfr.oregon.gov](http://www.dfr.oregon.gov), complete the form and post it.
5. Unless you have amended the company’s name or a trade name (otherwise known as a dba or abn), do not send this checklist to DFR. All supporting materials must be provided through NMLS as DFR does not accept documents related to licensing outside of NMLS. See the checklist below for more information.

For U.S. Postal Service:

OR DFR  
Licensing Section  
PO Box 14480  
Salem, OR 97309-0405

For Overnight Delivery:

OR DFR  
Licensing Section  
350 Winter Street NE – Room 410  
Salem, OR 97301

**WHO TO CONTACT** – Contact Oregon Division of Financial Regulation licensing staff by phone at (503) 947-7300 or send your questions via email to [DFR.NDP.Licensing@dcbs.oregon.gov](mailto:DFR.NDP.Licensing@dcbs.oregon.gov) for additional assistance. Please include your NMLS number with all correspondence.

NMLS Unique ID Number: \_\_\_\_\_

Applicant Legal Name: \_\_\_\_\_

Contact Phone Number: \_\_\_\_\_

FILED IN NMLS	ATTACHED	NOT APPLICABLE	ITEM
<input type="checkbox"/>	N/A	<input type="checkbox"/>	<b>CHANGE OF COMPANY LEGAL NAME:</b> <ul style="list-style-type: none"><li>• Submit an amendment for a change of Legal Name through the Company Form (MU1) in NMLS.</li></ul>
<input type="checkbox"/>	N/A	<input type="checkbox"/>	<b>CHANGE OF COMPANY OTHER TRADE NAME:</b> <ul style="list-style-type: none"><li>• Submit an amendment for a change to an Other Trade Name through the Company Form (MU1) in NMLS.</li></ul>
<input type="checkbox"/>	N/A	<input type="checkbox"/>	<b>TRUST ACCOUNTING: (For change of company name or trade name only)</b> <p>If you NEVER (not even reimbursement at closing) intend to accept monies from borrowers or on behalf of borrowers for the payment of third party service providers, do not submit anything additional.</p> <p>If you do intend to accept client funds, upload to NMLS the completed <i>Notice of Clients' trust account</i> number 440-2777 to report each trust account you'll use with Oregon State Loans. The form must include the full legal name of the applicant as well as all trade names used in Oregon. The trust account must be located at a federally insured depository institution that has a physical location in Oregon State. <a href="#">Click to download form</a></p>
<input type="checkbox"/>	N/A	<input type="checkbox"/>	<b>SECRETARY OF STATE/MUNICIPALITY DOCUMENTATION. (For change of name only)</b> – You must register any dba with the Oregon Secretary of State prior to being issued a license to conduct Oregon residential mortgage lending activity under that name. You may contact the Secretary of State at (503) 986-2200 or on their website at <a href="http://www.filinginoregon.com/">http://www.filinginoregon.com/</a> .
<input type="checkbox"/>	N/A	<input type="checkbox"/>	<b>DISCLOSURE QUESTIONS.</b> Upload to NMLS a detailed explanation and supporting documents for all events or proceedings for any affirmative answer to any of the disclosure questions for the company or any new control person or any new manager. <p>For more detailed instructions about the materials generally required for any affirmative answer, <a href="#">click here for disclosure questions for individuals</a> and <a href="#">click here for disclosure questions for companies</a>.</p>

THE APPLICANT/LICENSEE IS FULLY RESPONSIBLE FOR ALL OF THE REQUIREMENTS OF THE LICENSE FOR WHICH THEY ARE AMENDING. THE JURISDICTION SPECIFIC REQUIREMENTS

CONTAINED HEREIN ARE FOR GUIDANCE ONLY TO FACILITATE AMENDMENT REQUEST THROUGH THE NMLS. SHOULD YOU HAVE QUESTIONS, PLEASE CONSULT LEGAL COUNSEL.