CHECKLIST SECTIONS

- General Information
- Amendments

GENERAL INFORMATION

Instructions

When making changes to your record in NMLS, the New York State Department of Financial Services (NY-DFS) requires advance notification for some changes. The following amendments require advance notification to the Department:

- Change in Legal Name
- Change of Main Address
- Change in Legal Status
- Change of Control
- Change of Executive Officers, Directors or Qualifier
- Addition or Deletion of Other Trade Names

Note: The above amendments <u>should only</u> be processed under the company's NY Mortgage Banker License MU1 and associated MU2 forms.

PLEASE CONSULT NY MORTGAGE BANKER LICENSE AMENDMENT CHECKLIST FOR INSTRUCTIONS AND CONTACT INFORMATION

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